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Practitioner's Docket No. 3000.2

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: O. James Peterson IV

Application No.: 09/896,068

Group No.: 3624

Filed: June 29, 2001

Examiner: Harbeck, Timothy M

For: A METHOD OF PROCURING FINANCIAL PRODUCTS

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

DECLARATION OF PRIOR INVENTION IN THE UNITED STATES  
OR IN A NAFTA OR WTO MEMBER COUNTRY  
TO OVERCOME CITED PATENT OR PUBLICATION (37 C.F.R. § 1.131)

## PURPOSE OF DECLARATION

1. This declaration is to establish completion of the invention of this application in the United States at a date prior to May 1, 2000, that is the effective date of the prior art publication that was cited by the examiner.
2. The person making this declaration is the inventor.

## FACTS AND DOCUMENTARY EVIDENCE

3. To establish the date of completion of the invention of this application before the effective date of the prior art publication, the following attached documents and/or models are submitted as evidence: Applicant is submitting a copy of a business plan that was written before May 1, 2000. From this document, it can be seen that the invention in this application was made at least by the date of May 1, 2000, which is the effective date of the reference.

## DILIGENCE

4. Below is a statement establishing the diligence of the applicant, from the time of his conception, to a time just prior to the date of the reference, up to the filing of this application. Applicant developed and finalized the business method concept before May 1, 2000. Applicant filed his provisional application on July 14, 2000.

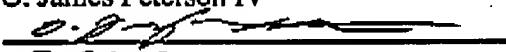
## TIME OF PRESENTATION OF THE DECLARATION

5. This declaration is submitted prior to final rejection.

**DECLARATION****6. As a person signing below:**

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

**SIGNATURE(S)**

Inventor: O. James Peterson IV  
Inventor's signature:   
Date: 7-21-06  
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Residence: Smyrna, GA  
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